## Today's topics

- · Orders of growth of processes
- · Relating types of procedures to different orders of growth

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#### Computing factorial

 Takes longer to run as n gets larger, but still manageable for large n (e.g. n = 10000 – takes about 13 seconds of "real time" in DrScheme; while n = 1000 – takes about 0.2 seconds of "real time")

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#### Fibonacci numbers

The Fibonacci numbers are described by the following equations:

```
fib(0) = 0

fib(1) = 1

fib(n) = fib(n-2) + fib(n-1) for n \ge 2

Expanding this sequence, we get

fib(0) = 0

fib(1) = 1

fib(2) = 1

fib(3) = 2

fib(4) = 3

fib(5) = 5

fib(6) = 8

fib(7) = 13

...
```

## A contrast to (fact n): computing Fibonacci

# A contrast: computing Fibonacci

• Later we'll see that when calculating (fib n) , we need more than  $2^{n/2}$  addition operations

(fib 100) uses + at least  $2^{50}$  times = 1,125,899,906,842,624 (fib 2000) uses + at least  $2^{1000}$  times

=10,715,086,071,862,673,209,484,250,490,600,018,105,614,048,117,055,336,074,437,503,883,703,510,511,249,361,224,931,983,788,156,958,581,275,946,729,175,531,468,251,871,482,565,923,140,435,984,577,746,986,574,803,934,567,774,824,203,985,427,074,605,062,371,141,877,954,182,153,046,474,983,581,941,267,398,767,559,185,543,946,077,062,914,571,196,477,686,542,167,660,429,831,652,624,386,837,205,668,069,376

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# Computing Fibonacci: putting it in context

- A rough estimate: the universe is approximately  $10^{10}$  years =  $3x10^{17}$  seconds old
- Fastest computer around (not your laptop) can do about 280x10<sup>12</sup> arithmetic operations a second, or about 10<sup>32</sup> operations in the lifetime of the universe
- 2<sup>100</sup> is roughly 10<sup>30</sup>
- So with a bit of luck, we could run (fib 200) in the lifetime of the universe ...
- A more precise calculation gives around 1000 hours to solve (fib 100)
- That is 1000 6.001 lectures, or 40 semesters, or 20 years of 6.001 or ...

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#### An overview of this lecture

- Measuring time requirements (complexity) of a function
- Simplifying the time complexity with asymptotic notation

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- · Calculating the time complexity for different functions
- · Measuring space complexity of a function

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#### Measuring the time complexity of a function

- Suppose n is a parameter that measures the size of a problem
  - For fact and fib, n is just the procedure's parameter
- Let t(n) be the amount of time necessary to solve a problem of size n
- What do we mean by "the amount of time"? How do we measure "time"?
  - Typically, we will define t(n) to be the number of primitive operations (e.g. the number of additions) required to solve a problem of size n

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# An example: factorial

- Define t(n) to be the number of multiplications required by (fact n)
- By looking at fact, we can see that:

$$t(0) = 0$$
  
 $t(n) = 1 + t(n-1)$  for  $n \ge 1$ 

• In other words: solving (fact n) for any n ≥ 1 requires one more multiplication than solving (fact (- n 1))

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# **Expanding the recurrence**

$$t(0) = 0$$

$$t(n) = 1 + t(n-1) \text{ for } n > = 1$$

$$t(0) = 0$$

$$t(1) = 1 + t(0) = 1$$

$$t(2) = 1 + t(1) = 2$$

$$t(3) = 1 + t(2) = 3$$

In general:

$$t(n) = n$$

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# Expanding the recurrence

$$t(0) = 0$$
  
 $t(n) = 1 + t(n-1)$  for  $n > 1$ 

- How would we prove that t(n) = n for all n?
- Proof by induction (remember from last lecture?):
  - Base case: t(n) = n is true for n = 0
  - Inductive step: if t(n) = n then it follows that t(n+1) = n+1
  - Hence by induction this is true for all *n*

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# A second example: Computing Fibonacci

By looking at fib, we can see that:

```
t(0) = 1

t(1) = 2

t(n) = 5 + t(n-1) + t(n-2) for n \ge 2
```

• In other words: solving (fib n) for any  $n \ge 2$  requires 5 more primitive ops than solving (fib (- n 1)) and solving (fib (- n 2))

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#### Looking at the Recurrence

t(0) = 1t(n) = 5 + t(n-1) + t(n-2) for  $n \ge 2$ 

- We can see that  $t(n) \ge t(n-1)$  for all  $n \ge 2$
- So, for  $n \ge 2$ , we have

t(n) = 5 + t(n-1) + t(n-2)≥ 2 t(n-2)

- Every time n increases by 2, we more than double the number of primitive ops that are required
- If we iterate the argument, we get

 $t(n) \ge 2 t(n-2) \ge 4 t(n-4) \ge 8 t(n-6) \ge 16 t(n-8) \dots$ 

· A little more math shows that

 $t(n) \geq 2^{n/2}$ 

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#### **Different Rates of Growth**

· So what does it really mean for things to grow at different rates?

	n	t(n) = log n	t(n) = n	$t(n) = n^2$	$t(n) = n^3$	$t(n) = 2^n$
١		(logarithmic)	(linear)	(quadratic)	(cubic)	(exponential)
	1	0	1	1	1	2
	10	3.3	10	100	1000	1024
	100	6.6	100	10,000	10^6	~10^30
	1,000	10.0	1,000	10^6	10^9	~10^300
١	10,000	13.3	10,000	10^9	10^12	~10^3,000
-	100,000	16.68	100,000	10^12	10^15	~10^30,000

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## **Asymptotic Notation**

· Formal definition:

We say t(n) has order of growth  $\Theta(f(n))$  if there are constants N,  $k_1$  and  $k_2$  such that for all  $n \ge N$ , we have  $k_1 f(n) \le t(n) \le k_2 f(n)$ 

- · This is what we call a tight asymptotic bound.
- Examples

t(n)=n has order of growth  $\Theta(n)$ because  $1n \le t(n) \le 1n$  for all  $n \ge 1$ (pick N=1,  $k_1=1$ ,  $k_2=1$ )

t(n)=8n has order of growth  $\Theta(n)$ 

because  $8n \le t(n) \le 8n$  for all  $n \ge 1$ (pick N=1, k<sub>1</sub>=8, k<sub>2</sub>=8)

# **Asymptotic Notation**

· Formal definition:

We say t(n) has order of growth  $\Theta(f(n))$  if there are constants N,  $k_1$  and  $k_2$  such that for all  $n \ge N$ , we have  $k_1 f(n) \le t(n) \le k_2 f(n)$ 

More examples

 $t(n)=3n^2$  has order of growth  $\Theta(n^2)$ because  $3n^2 \le t(n) \le 3n^2$  for all  $n \ge 1$ 

(pick N=1,  $k_1=3$ ,  $k_2=3$ )

 $t(n)=3n^2+5n+3$  has order of growth  $\Theta(n^2)$  because  $3n^2 \le t(n) \le 4n^2$  for all  $n \ge 6$  or because  $3n^2 \le t(n) \le 11n^2$  for all  $n \ge 1$ (pick N=6, k<sub>1</sub>=3, k<sub>2</sub>=4) (pick N=1, k<sub>1</sub>=3, k<sub>2</sub>=11)

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# Theta, Big-O, Little-o

- $\Theta(f(n))$  is called a tight asymptotic bound because it squeezes t(n) from above and below:
  - $\Theta(f(n))$  means  $k_1 f(n) \le t(n) \le k_2 f(n)$

"theta"

- · We can also talk about the upper bound or lower bound separately
  - O(f(n)) means  $t(n) \le k_2 f(n)$

"big-O"

•  $\Omega(f(n))$  means  $k_1 f(n) \le t(n)$ 

"omega"

- · Sometimes we will abuse notation and use an upper bound as our approximation
  - We should really use "big-O" notation in that case, saying that t(n) has order of growth O(f(n)), but we are sometimes sloppy and call this  $\Theta(f(n))$  growth.

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# Motivation

• In many cases, calculating the precise expression for t(n) is laborious, e.g.:

 $t(n) = 4n^3 + 18n^2 + 14$  $t(n) = 5n^3 + 6n^2 + 8n + 7$ 

- In both of these cases, t(n) has order of growth  $\Theta(n^3)$
- · Advantages of asymptotic notation
  - In many cases, it's much easier to show that t(n) has a particular order of growth, e.g., cubic, rather than calculating a precise expression for t(n)
  - Usually, the order of growth is what we really care about: the most important thing about the above functions is that they are both **cubic** (i.e., have order of growth  $\Theta(n^3)$ )

#### Some common orders of growth

```
\Theta(1)
           Constant
\Theta(\log n) Logarithmic growth
\Theta(n)
           Linear growth
\Theta(n^2)
           Quadratic growth
\Theta(n^3)
           Cubic growth
\Theta(2^n)
           Exponential growth
\Theta(\alpha^n) Exponential growth for any \alpha > 1
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```

# An example: factorial

```
(define (fact n)
  (if (= n 0)
       (* n (fact (- n 1)))))
```

- Define t(n) to be the number of multiplications required by (fact n)
- · By looking at fact, we can see that:

```
t(0) = 0
t(1) = 1 + t(n-1) for n >= 1
```

• Solving this recurrence gives t(n) = n, so order of growth is  $\Theta(n)$ 

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## A general result: linear growth

For any recurrence of the form

```
t(0) = c_1
   t(n) = c_2 + t(n-1) for n \ge 1
  where c_1 is a constant \geq 0
  and c_2 is a constant > 0
Then we have linear growth, i.e.,
              Θ(n)
Why?
   • If we expand this out, we get
```

- $t(n) = c_1 + nc_2$
- And this has order of growth  $\Theta(n)$

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# Connecting orders of growth to algorithm design

- We want to compute  $a^b$ , just using multiplication and addition
- Remember our stages:
  - · Wishful thinking
  - Decomposition
  - · Smallest sized subproblem

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# Connecting orders of growth to algorithm design

- · Wishful thinking
  - Assume that the procedure my-expt exists, but only solves smaller versions of the same problem
- · Decompose problem into solving smaller version and using result

```
a^n = a \cdot a \cdots a = a \cdot a^{n-1}
 (define my-expt
     (lambda (a n)
           (* a (my-expt a (- n 1)))))
```

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# Connecting orders of growth to algorithm design

- · Identify smallest size subproblem •  $a^0 = 1$

```
(define my-expt
   (lambda (a n)
      (if (= n 0)
          (* a (my-expt a (- n 1))))))
```

## The order of growth of my-expt

```
(define my-expt
    (lambda (a n)
       (if (= n 0)
             (* a (my-expt a (- n 1))))))
• Define the size of the problem to be n (the second parameter)
• Define t(n) to be the number of primitive operations required
• By looking at the code, we can see that t(n) has the form:
     t(0) = 1
      t(n) = 3 + t(n-1) for n \ge 1
· Hence this is also linear
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```

#### Using different processes for the same goal

- Are there other ways to decompose this problem?
- · We can take advantage of the following trick:

New special form:

```
(cond ((consequent> <consequent> ...)
    ((consequent> <consequent> ...)
    (else <consequent> <consequent>))
```

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## The order of growth of new-expt

```
(define (new-expt a n)
 (cond ((= n 0) 1)
        ((even? n) (new-expt (* a a) (/ n 2)))
        (else (* a (new-expt a (- n 1))))))
```

- If n is even, then 1 step reduces to n/2 sized problem
- If n is odd, then 2 steps reduces to n/2 sized problem
- Thus in at most 2k steps, reduces to n/2^k sized problem
- · We are done when problem size is just 1, which implies order of growth in time of

Θ(log n)

## The order of growth of new-expt

```
(define (new-expt a n)
  (cond ((= n 0) 1)
        ((even? n) (new-expt (* a a) (/ n 2)))
        (else (* a (new-expt a (- n 1))))))
```

• *t(n)* has the following form:

$$t(0) = 1$$
  
 $t(n) = 4 + t(n/2)$  if *n* is even  
 $t(n) = 4 + t(n-1)$  if *n* is odd

· It follows that

$$t(n) = 8 + t((n-1)/2)$$
 if *n* is odd

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# A general result: logarithmic growth

For any recurrence of the form

$$t(0)=c_1$$
 
$$t(n)=c_2+t(n/2) \text{ for } n\geq 1$$
 where  $c_1$  is a constant  $\geq 0$  and  $c_2$  is a constant  $> 0$   
Then we have **logarithmic growth**, i.e.,

Θ(log n)

- · Intuition: at each step we halve the size of the problem
- We can only halve n around log n times before we reach the base case (e.g. n=1 or n=0)

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## **Different Rates of Growth**

· Note why this makes a difference

n	t(n) = log n	t(n) = n	$t(n) = n^2$	$t(n) = n^3$	$t(n) = 2^n$
	(logarithmic)	(linear)	(quadratic)	(cubic)	(exponential)
1	0	1	1	1	2
10	3.3	10	100	1000	1024
100	6.6	100	10,000	10^6	1.3 x 10^30
1,000	10.0	1,000	10^6	10^9	1.1 x 10^300
10,000	13.3	10,000	10^9	10^12	
100,000	16.68	100,000	10^12	10^15	

#### **Back to Fibonacci**

```
(define fib
   (lambda (n)
      (cond ((= n 0) 0)
             ((= n 1) 1)
             (else (+ (fib (- n 1))
                      (fib (- n 2)))))))
```

• If t(n) is defined as the number of primitive operations (=, +, -), then:

```
t(0) = 1
t(1) = 2
t(n) = 5 + t(n-1) + t(n-2) for n \ge 2
```

• And for  $n \ge 2$  we have

```
t(n) \ge 2t(n-2)
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```

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#### Another general result: exponential growth

· If we can show:

```
t(0) = c_1
     t(n) \ge c_2 + \alpha t(n-\beta) for n \ge 1
with constants c_1 \ge 0, c_2 > 0,
and constant \alpha > 1
and constant \beta \ge 1
```

Then we have exponential growth, i.e.,

$$\Omega(\alpha^{n/\beta})$$

• Intuition: Every time we add  $\beta$  to the problem size n, the amount of computation required is **multiplied** by a factor of  $\alpha$ .

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# Why is our version of fib so inefficient?

```
(define fib
   (lambda (n)
      (cond ((= n 0) 0)
             ((= n 1) 1)
             (else (+ (fib (- n 1))
                      (fib (- n 2)))))))
```

- When computing (fib 6), the recursion computes (fib 5) and (fib 4)
- The computation of (fib 5) then involves computing (fib 4) and (fib 3). At this point (fib 4) has been computed twice. Isn't this wasteful?

# Why is our version of fib so inefficient?

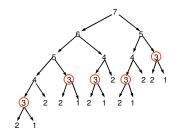
- Let's draw the computation tree: the subproblems that each (fib n) needs to call
- · We'll use the notation



...to signify that computing (fib 5) involves recursive calls to (fib 4) and (fib 3)

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# The computation tree for (fib 7)



• There's a lot of repeated computation here: e.g., (fib 3) is recomputed 5 times

2/15/2007 6.001 SICP · Order of growth is

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(define (ifib n) (fib-iter 0 1 0 n))

An efficient implementation of Fibonacci

(define (fib-iter i a b n) (if (= i n) (fib-iter (+ i 1) (+ a b) a n)))

• Recurrence (measured in number of primitive operations):

t(0) = 1t(n) = 3 + t(n-1) for  $n \ge 1$ 

 $\Theta(n)$ 

#### ifib is now linear

 If you trace the function, you will see that we avoid repeated computations. We've gone from exponential growth to linear growth!

```
(ifib 5)
(fib-iter 0 1 0 5)
(fib-iter 1 1 1 5)
(fib-iter 2 2 1 5)
(fib-iter 3 3 2 5)
(fib-iter 4 5 3 5)
(fib-iter 5 8 5 5)
```

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#### How much space (memory) does a procedure require?

- So far, we have considered the order of growth of t(n) for various procedures. T(n) is the **time** for the procedure to run, when given an input of size n.
- Now, let's define s(n) to be the **space** or **memory** requirements of a procedure when the problem size is n. What is the order of growth of s(n)?
- · Note that for now we will measure space requirements in terms of the maximum number of pending operations.

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## **Tracing factorial**

```
(define (fact n)
   (if (= n 0)
        (* n (fact (- n 1)))))

    A trace of fact shows that it leads to a recursive process, with

 pending operations.
(fact 4)
(* 4 (fact 3))
(* 4 (* 3 (fact 2)))
(* 4 (* 3 (* 2 (fact 1))))
(* 4 (* 3 (* 2 (* 1 (fact 0)))))
(* 4 (* 3 (* 2 (* 1 1))))
(* 4 (* 3 (* 2 1)))
24
```

## **Tracing factorial**

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- In general, running (fact n) leads to n pending operations
- · Each pending operation takes a constant amount of memory
- In this case, s(n) has order of growth that is linear in space:  $\Theta(n)$

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# A contrast: iterative factorial

```
(define (ifact n) (ifact-helper 1 1 n))
(define (ifact-helper product i n)
  (if (> i n)
      product
      (ifact-helper (* product i)
                      (+ i 1)
                     n)))
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```

# A contrast: iterative factorial

```
• A trace of (ifact 4):
(ifact 4)
(ifact-helper 1 1 4)
(ifact-helper 1 2 4)
(ifact-helper 2 3 4)
(ifact-helper 6 4 4)
(ifact-helper 24 5 4)
```

- (ifact n) has no pending operations, so s(n) has an order of growth that is constant  $\Theta(1)$
- Its time complexity t(n) is  $\Theta(n)$
- In contrast, (fact n) has linear growth in both space and time  $\Theta(n)$
- In general, iterative processes often have a lower order of growth for s(n) than recursive processes 2/15/2007

## Summary

- We've described how to calculate t(n), the time complexity of a procedure as a function of the size of its input
- · We've introduced asymptotic notation for orders of growth
- There is a **huge** difference between exponential order of growth and non-exponential growth, e.g., if your procedure has

$$t(n) = \Theta(2^n)$$

You will not be able to run it for large values of n.

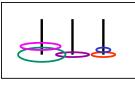
- We've given examples of procedures with linear, logarithmic, and exponential growth for t(n). Main point: you should be able to work out the order of growth of t(n) for simple procedures in Scheme
- The space requirements s(n) for a procedure depend on the number of pending operations. Iterative processes tend to have fewer pending operations than their corresponding recursive processes.

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## **Towers of Hanoi**

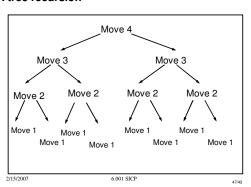
- · Three posts, and a set of different size disks
- Any stack must be sorted in decreasing order from bottom to top
- The goal is to move the disks one at a time, while preserving these conditions, until the entire stack has moved from one post to another



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## **Towers of Hanoi**

# A tree recursion



# Orders of growth for towers of Hanoi

- What is the order of growth in time for towers of Hanoi?
- What is the order of growth in space for towers of Hanoi?

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## Another example of different processes

Suppose we want to compute the elements of Pascal's triangle

```
1
1 1 1
1 2 1
1 3 3 1
1 4 6 4 1
1 5 10 10 5 1
1 6 15 20 15 6 1
```

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#### Pascal's triangle

- · We need some notation
  - Let's order the rows, starting with n=0 for the first row
  - The nth row then has n+1 elements
  - Let's use P(j,n) to denote the jth element of the nth row.
  - We want to find ways to compute P(j,n) for any n, and any j, such that 0 <= j <= n

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# Pascal's triangle the traditional way

- Traditionally, one thinks of Pascal's triangle being formed by the following informal method:
  - The first element of a row is 1
  - The last element of a row is 1
  - To get the second element of a row, add the first and second element of the previous row
  - To get the k'th element of a row, and the (k-1)'st and k'th element of the previous row

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## Pascal's triangle the traditional way

• Here is a procedure that just captures that idea:

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# Pascal's triangle the traditional way

- · What kind of process does this generate?
- · Looks a lot like fibonacci
  - There are two recursive calls to the procedure in the general case
  - In fact, this has a time complexity that is exponential and a space complexity that is linear

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# Solving the same problem a different way

- · Can we do better?
- Yes, but we need to do some thinking.
  - Pascal's triangle actually captures the idea of how many different ways there are of choosing objects from a set, where the order of choice doesn't matter.
  - P(0, n) is the number of ways of choosing collections of no objects, which is trivially 1.
  - P(n, n) is the number of ways of choosing collections of n objects, which is obviously 1, since there is only one set of n things.
  - P(j, n) is the number of ways of picking sets of j objects from a set of n objects.

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#### Solving the same problem a different way

- · So what is the number of ways of picking sets of j objects from a set of n objects?
  - Pick the first one there are n possible choices
  - Then pick the second one there are (n-1) choices left.
  - · Keep going until you have picked j objects

$$n(n-1)...(n-j+1) = \frac{n!}{(n-j)!}$$

· But the order in which we pick the objects doesn't matter, and there are i! different orders, so we have

$$\frac{n!}{(n-j)! \, j!} = \frac{n(n-1)...(n-j+1)}{j(j-1)....1}$$

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## Solving the same problem a different way

• So here is an easy way to implement this idea:

```
(define pascal
  (lambda (j n)
      (/ (fact n)
         (* (fact (- n j)) (fact j)))))
```

- · What is complexity of this approach?
- · Three different evaluations of fact
- · Each is linear in time and in space
- So combination takes 3n steps, which is also linear in time; and has at most n deferred operations, which is also linear in space

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## Solving the same problem a different way

· What about computing with a different version of fact? (define pascal

- What is complexity of this approach?
  - · Three different evaluations of fact
  - · Each is linear in time and constant in space
  - So combination takes 3n steps, which is also linear in time; and has no deferred operations, which is also constant in space

## Solving the same problem the direct way

$$\frac{n!}{(n-j)!} = \frac{n(n-1)...(n-j+1)}{j(j-1)....1}$$

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· Now, why not just do the computation directly?

```
(define pascal
    (lambda (j n)
        (/ (help n 1 (+ n (- j) 1))
           (help j 1 1))))
(define help
   (lambda (k prod end)
     (if (= k end)
         (* k prod)
         (help (- k 1) (* prod k) end))))
```

Solving the same problem the direct way

- · So what is complexity here?
  - · Help is an iterative procedure, and has constant space and linear time
  - This version of Pascal only uses two versions of help (as opposed the previous version that used three versions of ifact).
  - In practice, this means this version uses fewer multiplies that the previous one, but it is still linear in time, and hence has the same order of growth.

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6.001 SICP

# So why do these orders of growth matter?

- · Main concern is general order of growth
  - Exponential is very expensive as the problem size grows.
  - · Some clever thinking can sometimes convert an inefficient approach into a more efficient one.
- · In practice, actual performance may improve by considering different variations, even though the overall order of growth stays the same.